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FORM	1 PTO -1449 & TRAD

## INFORMATION DISCLOSURE IN AN APPLICATION BY APPLICANT

(Use several sheets if necessary)

of

Sheet

Docket Number	Application Number
DIV-1220-2	09/214,645
Applicant	Examiner
Jay M. Short	B.L. Sisson
Filing Date	Group Art Unit
September 27, 1999	1634

		U.	S. Patent Documen	ts	<u> </u>	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,683,202	July 28, 1987	Kary B. Mullis	435	91	

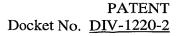
		For	eign Patent Docume	ents			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INTIAL		T 1 20 1000	ED AVGE			YES	NO
	2 641 793 /	July 20, 1990	FRANCE				
	WO 90/07575 7		WIPO			Abstract	
	WO 97/07205	Feb. 27, 1997	WIPO				
	WO 97/20078 /	June 5, 1997	WIPO				
	WO 95/22625	Aug. 24, 1995	WIPO				
	EP 0 876 509	Sept. 19, 2001	EUROPE				

## Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

A	Jirholt P. et al., "Exploiting sequence space: shuffling in vivo formed complementarity determining regions into a master framework", GENE, 215:471-476, (1998).
В	Pompon D. and Nicolas A., "Protein engineering by cDNA recombination in yeasts: shuffling of mammalian cytochrome P-450 functions", GENE, 83:15-24, (1989).
С	Lewin, Benjamin, "DNA is the Genetic Material", GENES IV, 4:69-72 (Chapter 4); 458-460 (Chapter 23), (1990).
D	Marton, Attila et al., "DNA Nicking Favors PCR Recombination", Nucleic Acids Research, 19(9):2423-2426, (1991).
Е	Paabo, Svante et al., "DNA Damage Promotes Jumping between Templates during Enzymatic Amplification", The Journal of Biological Chemistry, 265(8):4718:4721, (1990).
F	Saiki, Randall K. et al., "Primer-Directed Enzymatic Amplification of DNA with a Thermostable DNA Polymerase", Science, 239:487-490, (1988).
G	Stemmer, Willem P.C., "Searching Sequence Space (Using Recombination to Search More Efficiently and Thoroughly Instead of Making Bigger Combinatorial Libraries)", Biotechnology, 13:549-553, (1995).
Н	Suzuki, David T. et al., "An Introduction to Genetic Analysis", 4:416-419 (Chapter 15); 482-490 (Chapter 17); and 511-512 (Chapter 18), W.H. Freeman and Co., Fourth Ed., (1989).
I	Sambrook et al., "In Vitro Amplification of DNA by the Polymerase Chain Reaction", (Section 14), In: Molecular Cloning Handbook, pp. 14.2-14.19, (1989).

EXAMINER	DATE CONSIDERED				
EXAMINER: Initial if citation is considers	ad whather are not situation is in conformance with MDED \$ 600 D Line through				

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jay M. Short

U.S. Serial No.:

09/214,645

Examiner:

Bradley L. Sisson

Filing Date:

September 27, 1999

Art Unit:

1634

For:

METHOD OF DNA SHUFFLING WITH POLYNUCLEOTIDES PRODUCED BY BLOCKING OR INTERRUPTING A SYNTHESIS

OR AMPLIFICATION PROCESS

Box: RCE

Commissioner for Patents Washington D.C. 20231

I hereby certify that this correspondence is being transmitted by Express Mail bearing Express Mail Label No. EL727328638US to the Commissioner for Patents, BOX: RCE, Washington, D.C. 20231 on Date: March 6, 2003

Signaturé: Susan A. D'Alessandro

Dear Sir:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

This Supplemental Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98 and accompanies the filing of a Request for Continued Examination in the above-identified patent application. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the listed, enclosed items and to independently ascertain their teaching.

The applicant brings to the attention of the Patent Office that observations by a third party were submitted in related European Patent Application No. 9793348.0, currently pending in the European Patent Office. The Examiner is requested to inform the applicant if further information pertaining to the third party observations is desired. It is respectfully requested that Applicant: Jay M. Short U.S. Serial No.: 09/214,645

Filing Date: September 27, 1999

Docket No.: DIV-1220-2

the Examiner initial and return a copy of the enclosed PTO Form 1449 with the next Patent Office communication.

No fees other than those paid herewith are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement. However, the Commissioner is authorized to debit any necessary fee, or to credit any overpayment relating to the above-identified application, to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

HALE AND DORR, L.L.P.

Date: March 6, 2003

Jane M. Love, Ph.D.

Reg. No. 42,812

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